



RECEIVED

FEB 02 2000

*Receipt*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Wei-Wei Zhang  
Jack A. Roth

Serial No.: 09/413,109

Filed: October 6, 1999

For: RECOMBINANT P53 ADENOVIRUS  
METHODS AND COMPOSITIONS

Group Art Unit: 1636

Examiner: Unknown

Atty. Dkt. No.: INRP:087/SHS

*#7*

|   |                      |
|---|----------------------|
| CERTIFICATE OF MAILING<br>37 C.F.R. 1.8   |                      |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below: |                      |
| January 4, 2000<br>Date   | <br>Gina N. Shishima |

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

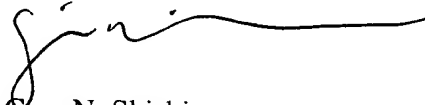
There is an error in the CONTINUING DATA line. Please insert after THIS APPLN IS A CON OF 08/145,826 10/29/93, --WHICH IS A CONTINUATION-IN-PART OF 07/960,513 10/13/92--.

Because the error is not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to

1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner is hereby authorized to deduct said fee from Arnold White & Durkee Deposit Account No. 01-2508/INRP:087/SHS.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

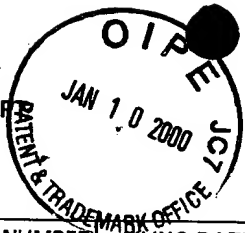


Gina N. Shishima  
Reg. No. 45,104  
Attorney for Applicants

ARNOLD, WHITE & DURKEE  
P.O. Box 4433  
Houston, Texas 77210-4433  
(512) 418-3000

Date: January 4, 2000

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/413,109         | 10/06/99    | 1636         | \$1,426.00    | INRP;087/SHS        | 9     | 57     | 3      |

ARNOLD WHITE & DURKEE  
POST OFFICE BOX 4433  
HOUSTON TX 77210

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) WEI-WEI ZHANG, SUGAR LAND, TX; JACK A. ROTH, HOUSTON, TX.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/145,826 10/29/93

WHICH IS A CONTINUATION-IN-PART OF 07/960,513 10/13/92

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/29/99

TITLE

RECOMBINANT P53 ADENOVIRUS METHODS AND COMPOSITIONS

PRELIMINARY CLASS: 514

REC'D. - A. W. & D.

DEC 06 1999

HOUSTON DOCKETING DEPT.

INRP 087---

DOCKETED ☒ UPDATED ☐  
Previously ☐ Not Required ☐  
Appl. Info ☐  
Reg/Grant Info ☐  
Action Required: Filing Rec  
Rec'd  
Date Due/Done: 12-6-99  
By: [Signature] Checked: [Signature]

DATA ENTRY BY: LOVER, ANTHONY

TEAM: 08 DATE: 11/30/99

(See reverse for new important information)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/413,109         | 10/06/1999  | 1636         | 1828          | INRP;087/SHS     | 9        | 57         | 3          |

ARNOLD WHITE & DURKEE  
POST OFFICE BOX 4433  
HOUSTON, TX 77210

## CORRECTED FILING RECEIPT



\*OC00000005611717\*

Date Mailed: 12/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

WEI-WEI ZHANG, SUGAR LAND, TX ;  
JACK A. ROTH, HOUSTON, TX ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/145,826 10/29/1993 ABN  
WHICH IS A CIP OF 07/960,513 10/13/1992 PAT 6,017,524

## Foreign Applications

If Required, Foreign Filing License Granted 10/29/1999

## Title

RECOMBINANT P53 ADENOVIRUS METHODS AND COMPOSITIONS

## Preliminary Class

514

Data entry by : ADAMS, ZETA

Team : 1600

Date: 12/11/2000

